



JEB/GHB
RECEIVED APR 26 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

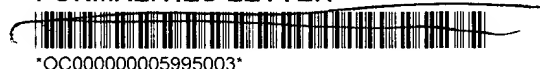
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,733 ✓	03/13/2001 ✓	Qi Wang ✓	MTC 6614.1 ✓

CONFIRMATION NO. 2031 ✓

000321
SENNIGER POWERS LEAVITT AND ROEDEL
ONE METROPOLITAN SQUARE
16TH FLOOR
ST LOUIS, MO 63102

FORMALITIES LETTER



OC000000005995003

Date Mailed: 04/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

05/24/2001 SHINASS1 00000038 09804733

01 FC:105

130.00 0P

Bun



A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



MTC 6614.1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Qi Wang et al.

Serial No. 09/804,733

Filed March 13, 2001

Confirmation No. 2031

For A NOVEL FACTOR-H RELATED PROTEIN 5 AND ANTIBODIES THERETO

May 21, 2001

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

* In response to the Notice To File Missing Parts of Nonprovisional Application dated
* April 23, 2001, enclosed is applicants' signed Declaration and Power of Attorney in the above-
referenced application. A copy of the Notice is returned herewith.

* Also enclosed is a check in payment of the surcharge.

An assignment by the inventor(s) to Monsanto Technology LLC is being mailed today.

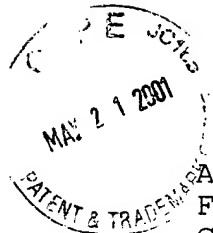
* Also enclosed is a Statement Under 37 C.F.R. 1.821(f), together with a substitute copy of
the computer readable form (CRF) of the sequence listing and a substitute paper copy of the
sequence listing and a statement under 37 CFR §§ 1.821(g) and 1.825(b)

Respectfully submitted,

James E. Butler, Ph.D., Reg. No. 40,931
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

JEBmkd

Express Mail Label No. EL739385120US
Box Missing Parts



-05-22-01

FEE TRANSMITTAL

Sector \$
Box/Seq.

Application Number 09/804,733
Filing Date March 13, 2001
Confirmation No. 2031
First Named Inventor Qi Wang
Attorney Docket Number MTC 6614.1


METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ _____
(Type: _____)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ _____
Total Claims _____
Independent Claims _____
Multiple Dependent Claims _____
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 130.00
☒ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 130.00


James E. Butler, Ph.D., Reg. No. 40,931

May 21, 2001
Date

JEBmkd

Express Mail Label No. EL739385120US